

# Change of Purchaser Form



TEXAS TUITION  
PROMISE FUND™  
A Prepaid Plan as Smart as You Are

## Instructions

Please use this form to update or change the existing Purchaser on your Texas Tuition Promise Fund<sup>SM</sup> account. **The Signature Guarantee Section must be completed for a change of Purchaser to take effect.**

Forms are available on our website at [www.texas tuition promise fund.com](http://www.texas tuition promise fund.com), or by calling a Customer Service Representative at **1.800.445.GRAD (4723)**, option #5, Monday through Friday from 8am to 6pm Central Time.

Return this form and any other required documents to:

Texas Tuition Promise Fund  
P.O. Box 44305  
Jacksonville, FL 32231-4305

## Section I. | Type of Change

Purchaser Name Change Only

Updating purchaser name due to qualifying event, i.e., marriage, divorce, etc. (attach appropriate documentation).

Transfer Account to New Purchaser (Signature Guarantee Required)

You must fill out sections II, IV and V. The following supporting documentation is required for Change of Purchaser due to death only:

- If you are the current Successor Purchaser named on the account, a copy of the death certificate is required.
- If no Successor Purchaser is named on the account, a copy of the death certificate and the appropriate court documents are required.

## Section II. | Current Purchaser

Please enter the existing Purchaser information below:

\_\_\_\_\_  
Texas Tuition Promise Fund Account number

\_\_\_\_\_  
Name of Purchaser (First, middle, last including suffix)

(      )

\_\_\_\_\_  
Purchaser's telephone number

\_\_\_\_\_  
Social Security or Taxpayer ID Number

\_\_\_\_\_  
Name of Current Beneficiary (First, middle, last including suffix)

\_\_\_\_\_  
Social Security Number

## Section III. | Name Change Only

**Purchaser Name Change Only:**

\_\_\_\_\_  
Purchaser's New Name due to marriage, divorce, or other qualifying event (First, middle, last including suffix)

## Section IV. | New Purchaser Information

### Transfer Account to New Purchaser:

\_\_\_\_\_  
Name of New Purchaser (First, middle, last including suffix)

\_\_\_\_\_  
Social Security or Taxpayer ID Number

(      )

\_\_\_\_\_  
Street Address (do not use PO Box)

\_\_\_\_\_  
Daytime phone Number

(      )

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Alternate Phone Number

\_\_\_\_\_  
E-mail Address

If the Purchaser is an organization, please indicate type:

- Corporation, Partnership, or LLC (enclose copy of certificate of formation)
- Estate
- Trust (enclose copy of trust instrument)
- 501(c)(3) (enclose copy of ruling determination letter from IRS)
- Other

## Section V. | Account Agreement and Signature Guarantee

### For Current Purchaser:

**I certify that the information I have provided is true, complete, and accurate. I have received, read, and agreed to the terms set forth in the Plan Description and Master Agreement. I certify that by executing this form, I relinquish all rights and responsibilities of the contract to the new Purchaser.**

\_\_\_\_\_  
Signature of Current Purchaser

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Executor (in cases of death only)

\_\_\_\_\_  
Date

### For New Purchaser:

**I certify that the information I have provided is true, complete, and accurate. I have received, read, and agreed to the terms set forth in the Plan Description and Master Agreement.**

\_\_\_\_\_  
Signature of New Purchaser

\_\_\_\_\_  
Date

**A Signature Guarantee is only required if you are transferring your account to a New Purchaser.**

**Signature Guarantee**—A Signature Guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, savings associations, credit unions and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near the signature(s) being guaranteed. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. A NOTARY PUBLIC STAMP OR SEAL IS NOT ACCEPTABLE.

*Affix medallion stamp here:*

\_\_\_\_\_  
Guarantee's First Name, Middle Initial, Last Name (if required)

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature Guarantee

\_\_\_\_\_  
Date

The Texas Tuition Promise Fund<sup>SM</sup> is established and maintained by the Texas Prepaid Higher Education Tuition Board. NorthStar Financial Services Group, LLC ("NorthStar") is the plan manager and the Texas Tuition Promise Fund is distributed by NorthStar affiliate Northern Lights Distributors, LLC and administered by NorthStar affiliate Gemini Fund Services, LLC. Some states offer favorable tax treatment to their residents only if they participate in the state's own plan. Non-residents of Texas should consider whether their state offers its residents a 529 plan with alternative tax advantages and should consult their tax advisor. The Contracts are not deposits or other obligations of any depository institution. Neither a Contract nor any return paid with a refund is insured or guaranteed by the FDIC, the state of Texas, the Texas Prepaid Higher Education Tuition Board, any other state or federal governmental agency or NorthStar or its subsidiaries or affiliates. The Contracts have not been registered with the U.S. Securities and Exchange Commission or with any state.

**Purchasers should carefully consider the risks, administrative fees, service and other charges and expenses associated with the Contracts, including plan termination and decreased refund or transfer value. The Plan Description and Master Agreement contain this and other information about the Plan, and may be obtained by visiting [www.texastuitionpromisefund.com](http://www.texastuitionpromisefund.com) or calling 1.800.445.GRAD (4723), option #5. Purchasers should read these documents carefully before purchasing a Contract.**

Comments or complaints may be forwarded to the Prepaid Higher Education Tuition Program, Office of the Comptroller of Public Accounts at P.O. Box 13407, Austin, Texas 78711-3407, or by calling 1-512-936-2064.

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